Unmanned Aircraft Systems Rules & Regulations

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AC 91-57

DATE June 9, 1981

ADVISORY CIRCULAR



DEPARTMENT OF TRANSPORTATION Federal Aviation Administration Washington, D.C.

Current rules? Date?

Commercial use is illegal

Line of sight 400 foot altitude No flying near people No flying near urban areas No flying near airports Subject: MODEL AIRCRAFT OPERATING STANDARDS

<u>PURPOSE</u>. This advisory circular outlines, and encourages voluntary compliance with, safety standards for model aircraft operators.

2. <u>BACKGROUND</u>. Modelers, generally, are concerned about safety and do exercise good judgement when flying model aircraft. However, model aircraft can at times pose a hazard to full-scale aircraft in flight and to persons and property on the surface. Compliance with the following standards will help reduce the potential for that hazard and create a good neighbor environment with affected communities and airspace users.

OPERATING STANDARDS.

a. Select an operating site that is of sufficient distance from populated areas. The selected site should be away from noise sensitive areas such as parks, schools, hospitals, churches, etc.

b. Do not operate model aircraft in the presence of spectators until the aircraft is successfully flight tested and proven airworthy.

c. Do not fly model aircraft higher than 400 feet above the surface. When flying aircraft within 3 miles of an airport, notify the airport operator, or when an air traffic facility is located at the airport, notify the control tower, or flight service station.

d. Give right of way to, and avoid flying in the proximity of, full-scale aircraft. Use observers to help if possible.

e. Do not hesitate to ask for assistance from any airport traffic control tower or flight service station concerning compliance with these standards.

VAN Director, Air Traffic Service

FAR & AIM

Federal Aviation Regulations (FARs) Aeronautical Information Manual (AIM)

Avoiding midair collision is critical pilot uses "see and avoid"

US Code of Federal Regulations: CFR 14, Part 91.113



FEDERAL AVIATION REGULATIONS ERONAUTICAL INFORMATION MANUAL

Bules and procedures for Aviator

U.S. Department of Demandulars in Tales 19 and 46 of the Cale of Federal Regulation

FAR & AIM

US Code of Federal Regulations: CFR 14, Part 91.113

"... vigilance shall be maintained by each person operation an aircraft so as to see and avoid other aircraft."

and ". . . not operate an aircraft so close to another aircraft as to create a collision hazard."

With UAS, the pilot is <u>not</u> in the air.

FAR Part 91

Rules include:

Equipment & Instruments

Certifications

- **Special Flight Operations**
- Maintenance & Alterations
- **Operating Noise Limits**
- Weather Minimums

Waivers

Etc.

14 CFR Part 91 Index

Subpart A--GENERAL

- 91.1 Applicability
- 91.3 Responsibility and authority of the pilot in command
- 91.5 Pilot in command of aircraft requiring more than one required pilot
- <u>91.7</u> Civil aircraft airworthiness
- · 91.9 Civil aircraft flight manual, marking, and placard requirements
- 91.11 Prohibition on interference with crewmembers
- <u>91.13</u> Careless or reckless operation
- 91.15 Dropping objects
- 91.17 Alcohol or drugs
- <u>91.19</u> Carriage of narcotic drugs, marihuana, and depressant or stimulant drugs or substances
- 91.21 Portable electronic devices
- <u>91.23</u> Truth-in-leasing clause requirement in leases and conditional sales contracts
- 91.25 Aviation Safety Reporting Program: Prohibition against use of reports for enforcement purposes

Subpart B--FLIGHT RULES

- 91.101 Applicability
- 91.103 Preflight action
- 91.105 Flight crewmembers at stations
- <u>91.107</u> Use of safety belts, shoulder harnesses, and child restraint systems
- 91.109 Flight instruction; Simulated instrument flight and certain flight tests
- 91.111 Operating near other aircraft
- 91.113 Right-of-way rules: Except water operations
- 91.115 Right-of-way rules: Water operations
- <u>91.117</u> Aircraft speed

FAR & UAS

FAR defines an aircraft as

"a device that is used for flight in the air". No difference between manned and unmanned

FAR 91: Governs the operation of aircraft other than •moored balloons, kites

- •unmanned rockets
- unmanned free balloons
- ultralight vehicles
- Therefore, FAR Part 91 applies to UAS

Laws Lag Technology

To operate a UAS, you need a waiver to FAR 91

This is known as a

Certificate of Authorization (COA)

FAA COA

Federal Aviation Administration

Strict process that stresses aviation safety & planning

Limits where and how UAS can be flown



COA Requirements

Airworthiness

Aircraft Maintenance Plan

See And Avoid Plan

Lost Link Procedures

Spectrum Authorization

COA by Year

- 2009 146
- 2010 298
- 2011 313
- 2012 257
- 2013 545

(as of Dec 4)

Time consuming to get: 3-6 months About \$10,000 in administrative costs

COA Requirements

Research for the public good
Research by a public, non-profit entity
Aircraft air-worthiness certification
Ability to manage airspace
Ability to pilot aircraft
Commercial pilots license?



UAF COA

DEPARTMENT OF TRANSPORTATION CERTIFICATE OF WAIVER OR AUTHORIZATION ISSUED TO University of Alaska, Fairbanks University of Alaska, Fairbanks Geophysical Institute, Poker Flat Research Range P.O Box 757320 Fairbanks, Alaska, 99775 This certificate is issued for the operations specifically described hereinafter. No person shall conduct any operation pursuant to the authority of this certificate except in accordance with the standard and special provisions contained in this certificate, and such other requirements of the Federal Aviation Regulations not specifically waived by this certificate. Operation of the Aeryon Scout Unmanned Aircraft System (UAS) in Class G airspace at or below 400 feet Above Ground Level (AGL) over the Clearwater and Snake Rivers, Idaho, as depicted in Attachment 1, under the jurisdiction of Seattle and Salt Lake City Air Route Traffic Control Centers (ARTCCs). LIST OF WARED REGIL ATIONS BY SECTION AND TITLE N/A STANDARD PROVISIONS 1. A copy of the application made for this certificate shall be attached and become a part hereof. 2. This certificate shall be presented for inspection upon the request of any authorized representative of the Federal Aviation Administration, or of any State or municipal official charged with the duty of enforcing local laws or regulations. 3. The holder of this certificate shall be responsible for the strict observance of the terms and provisions contained herein.

4. This certificate is nontransferable.

Note-This certificate constitutes a waiver of those Federal rules or regulations specifically referred to above. It does not constitute a waiver of any State law or local ordinance.

SPECIAL PROVISIONS

Special Provisions are set forth and attached.

This certificate 2012-WSA-103 is effective from October 25, 2012 to October 24, 2014, and is subject to cancellation at any time upon notice by the Administrator or his/her authorized representative.

See And Avoid

UAS pilot must possess a See and Avoid (SAA) capability

SAA for non-cooperative aircraft (no transponder) Air Traffic Control (ATC) radar

Chase planes

Corrective lenses Physical for pilot



Pilot Requirements

One pilot in command (PIC) at all times PIC has passed the FAA Private Pilot examination Pilots are not allowed to perform other duties, i.e. sensor

Visual line of sight operations No further than 1/2 NM from the PIC Altitude of no more than 400 feet AGL During daylight hours only No closer than 5 NM from any airport

An observer, in communications with the PIC

Airworthiness

The COA applicant must establish airworthiness and describe how airworthiness is maintained

Public entities can develop their own airworthiness guidelines, however the FAA may require proof of an ongoing airworthiness program

> DoD: MIL-HDBK 516B Air Force: AFPD 62-6 Army: AR 70-62 Navy and Marines: NAVAIRINST 13034.1C

Lost Link

In all cases, the UAS must be provided with a means of automatic recovery in the event of a lost link. There are many acceptable approaches to satisfy the requirement. The intent is to ensure airborne operations are predictable in the event of lost link.

> "Navy operators lost control of an unmanned aircraft earlier this month and were unable to regain control before the aircraft entered restricted airspace around the U.S. capital."

> > Navy Times, 25 Aug 2010

Communications Spectrum

You many need Federal Communications Commission authorization to transmit on the radio frequencies used,

Except those in the unlicensed bands

- 900 megahertz
- 2.4 gigahertz
- 5.8 gigahertz

Encryption? Spoofing? Hi-jacking?

Laws Lag Technology

Fourth Amendment

Unreasonable Search – except from air

State Laws Privacy Laws Peeping Tom Laws Trespass Laws Commercial Use Recreational Use Liability & Insurance



"Oh, no. My wife's drone!"

http://www.condenaststore.com/-sp/Oh-no-My-wife-s-drone-New-Yorker-Cartoon-Prints_i8534591_.htm

Fourth Amendment

Prohibits unreasonable searches and seizures and requires any warrant to be judicially sanctioned and supported by probable cause.

<u>Aerial photography & privacy</u> *Katz v. United States California v. Ciraolo Dow Chemical Co. v. United States Kyllo v. United States United States v. Jones*

1974 Privacy Act

Fair Information Practice that governs the collection, maintenance, use, and dissemination of personally identifiable information about individuals that is maintained in systems of records.

- Applies to GIS & imagery data
- Requires written consent to disclose data about an individual
- Privacy protection requires database risk management, preventing breaches of data protection

Pirker Test Case (NTSB.7)

- FAA alleged that Pirker violated the commercial ban on UAS and also flew his 4.5 lb aircraft recklessly.
- FAA fined Raphael Pirker \$10,000.
- March 6, 2014
- NTSB Judge Patrick Geraghty, struck down the fine and ruled that the FAA had no effective UAS rules.
- FAA has appealed.
- The full NTSB will review Geraghty's ruling.

Alaska HB 255

- March 13, 2014
- House Bill 255 sets standards for law enforcement aimed at protecting privacy.
- The law enforcement sections require agencies maintain a searchable record of each flight.
- UAS can only be operated by trained crews and that images are disposed or kept confidential, unless part of a prosecution.

Sen. Dianne Feinstein

March 16, 2014

Privacy concerns for the technology were "major."

- When is a drone picture a benefit to society?
- When does it become stalking?
- When does it invade privacy?
- How close to a home can a drone go?
- Operator certification?



Drone Law Journal

Legal News & Info About Drones, UAVs and Remote-Controlled Model Aircraft

Legal controversy – Peter Sachs, Esq

- Enforceable law versus non-enforceable law.
- The federal government has no authority to regulate the operation of remote-controlled model aircraft.
- Remote-controlled model aircraft are not "aircraft," as defined in federal statutory and regulation language.
- Why if used commercially, remote-controlled model aircraft are claimed to be aircraft.

Thank You & Questions

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